

Practitioner's Docket No. 01 P 15192 US (INF-004)

# Receipt  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ahrens, Michael E. ; Dannenberg, Andreas H.  
Application No.: 09/927,278 Group No.: 2874  
Filed: 08/09/2001 Examiner: unknown  
For: "Release Mechanism For Pluggable Fiber Optic Transceiver"

2/CFR  
P. Walker  
10-26-01

Assistant Commissioner for Patents  
Washington, D.C. 20231

September 28, 2001

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following, which is incorrectly entered.

*Error in*

*Correct data*

1. Incorrect Docket No.: 01 P 12548 US

1. Correct Docket No. : 01 P 15192 US

3. The above correction is due to applicant's error and the fee therefor, under 37 C.F.R. section 1.19(h), of \$25.00 is paid as follows:

Charge Deposit Account No.: 50-0574: \$ 25.00.

Date:

Sept 28, 2001

Reg. No.: 33,834  
Tel. No.: 408-451-5902  
Customer No.: 022888

Signature of Practitioner

Patrick T. Bever  
BEVER, HOFFMAN & HARMS, LLP  
2099 Gateway Place  
Suite 320  
San Jose, CA 95110-1017

RECEIVED  
OCT 15 2001  
TO 2000 MAIL ROOM

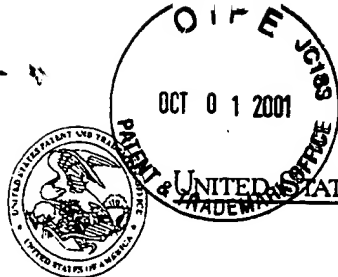
I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on September 28, 2001.

Date:

Sept. 28, 2001

Signature:

Patrick T. Bever



COPY

OCT 01 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	-----------------	----------	------------	------------

09/927,278	08/09/2001	2874	790	01 P 12548 US	5	20	4
------------	------------	------	-----	------------------	---	----	---

022888  
BEVER HOFFMAN & HARMS, LLP  
2099 GATEWAY PLACE  
SUITE 320  
SAN JOSE, CA 951101017

S/B  
01 P 15192 US

CONFIRMATION NO. 5310

FILING RECEIPT



\*OC000000006565368\*

Date Mailed: 09/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Michael E. Ahrens, Campbell, CA;  
Andreas H. Dannenberg, Cupertino, CA;

**Assignment For Published Patent Application**

Infineon Technologies North America Corp.;

**Domestic Priority data as claimed by applicant****Foreign Applications**

If Required, Foreign Filing License Granted 09/17/2001

Projected Publication Date: 02/13/2003

Non-Publication Request: No

Early Publication Request: No

**Title**

Release mechanism for pluggable fiber optic transceiver

RECEIVED  
OCT 15 2001  
TC 2000 MAIL ROOM

**Preliminary Class**

385

---

**Data entry by : HAIDER, TANBIR**

**Team : OIPE**

**Date: 09/17/2001**





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

RECEIVED  
OCT 15 2001  
2001 OCT 15 10:01 AM



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5310

<b>SERIAL NUMBER</b> 09/927,278	<b>FILING DATE</b> 08/09/2001 <b>RULE</b>	<b>CLASS</b> 385	<b>GROUP ART UNIT</b> 2874 2839	<b>ATTORNEY DOCKET NO.</b> 01 P 15192 US	
<b>APPLICANTS</b> Michael E. Ahrens, Campbell, CA; Andreas H. Dannenberg, Cupertino, CA;					
<b>** CONTINUING DATA *****</b> N/A - SN					
<b>** FOREIGN APPLICATIONS *****</b> N/A - SN					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> ** 09/17/2001					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <u>SN</u> Examiner's Signature Initials		<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 20	<b>INDEPENDENT CLAIMS</b> 4
<b>ADDRESS</b> 022888					
<b>TITLE</b> Release mechanism for pluggable fiber optic transceiver					
<b>FILING FEE RECEIVED</b> 790	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		